

# Phase-field Modeling of Hydride Reorientation in Zirconium Cladding Materials under Applied Stress

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## 1. Introduction

Dry storage of spent fuel of light-water reactors is already being implemented or strongly considered as a potential option for long-term storage [1]. While it is extremely important to maintain the integrity of the cladding during dry storage, hydride tends to be re-precipitated during dry storage due to hydrogen dissolved in the  $\alpha$ matrix; this has a significant effect on the cladding integrity [2, 3]. Because the adverse effect of circumferential hydrides on the cladding mechanical integrity is less than that of radial hydrides, producers control the texture of the cladding to cause supersaturated hydrogen to be precipitated as radial hydrides [4]. According to ISG-11, Rev. 2, the temperature of the cladding during dry storage needs to be maintained below 400 °C and the inner pressure of the cladding due to the fission gas needs to be in the range of 50-100MPa [1]. As dry storage proceeds, the temperature of the cladding decreases, and there are reports that radial hydrides are precipitated rather than circumferential hydrides due to hoop stress caused by the internal pressure [2,3,5,6]. The phase-field method has been actively used to study microstructural changes such as hydride precipitation in Zr cladding materials [7-11]. We adopted the Multiphysics Object Oriented Simulation Environment (MOOSE) framework to simulate the 3D morphological evolution of hydride on a Zr alloy. We used a combined phase-field kernel and a tensor-mechanics kernel to simulate the morphological evolution of hydride under the applied stress. We assumed the presence of  $\delta$ -hydride, which is known to be the stable hydride phase between 200 °C and 400 °C [12] when the atomic fraction of hydrogen is below 0.5. First, we evaluated the equilibrium morphology of  $\delta$ -hydride without any applied stress. We adopted three different crystallographic variants of  $\delta$ -hydride and their associated eigenstrain matrices, which denote the internal stress due to the lattice mismatch between the matrix phase and the hydride [10]. We measured the equilibrium morphology of zirconium hydride corresponding to the variants and determined the direction of the hydride with respect to the basal plane. In the next step, we captured the  $\delta$ -hydride reorientation under the applied stress and measured the threshold stress level to reorient the hydride; this is a crucial parameter to guarantee the integrity of the Zr cladding during dry storage.

## 2. Simulation Details

In this section, simulation details used to model are described. Free energies of  $\delta$ -hydride and  $\alpha$ -Zr as quadratic approximation, multiphase multicomponent phase-field model, direction of  $\delta$ -hydride using principal component analysis(PCA) are explained.

### 2.1 Free energies of $\alpha$ -Zr and $\delta$ -hydride

The free energies of  $\alpha$ -Zr and  $\delta$ -hydride are represented in [12]. The total chemical free energy, i.e., the molar free energies of the  $\alpha$  and  $\delta$  phases, is calculated in an environment where the temperature T is 550K. To enhance the numerical stability, we obtained the quadratic approximations form of the free energies in the vicinity of the equilibrium concentration, as shown in Eqs. (1) and (2).

$$G_q^\alpha = 860018(c_\alpha - 0.0395)^2 - 25239.32, \quad (1)$$

$$G_q^\delta = 394327.2(c_\delta - 0.6804)^2 - 62846.66 \quad (2)$$

We show the original and approximated formulations in Fig. 1

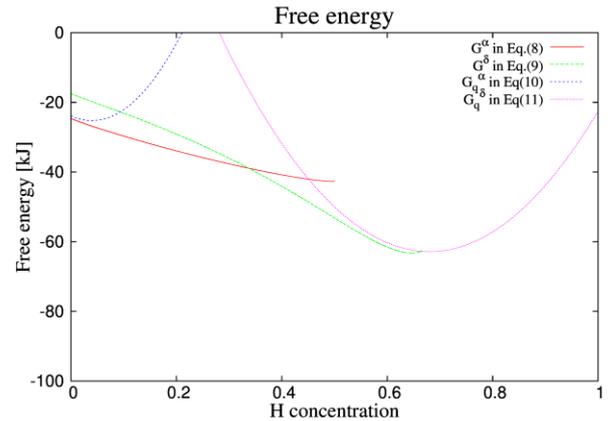


Fig. 1. The CALPHAD-based free energy and approximated free energy according to second-order Taylor expansion at 550K.  $G^\alpha$  and  $G^\delta$ , are the free energies of each phase,  $G_q^\alpha$  and  $G_q^\delta$  are approximated free energies of each phase. The superscript represents their phase.

### 2.2 Multiphase multicomponent phase-field model

Cahn-Hilliard equation [13] with respect to the concentration of hydrogen and the Allen-Cahn equation [14] with respect to the structural parameter  $\eta$  as follow:

$$\frac{\partial c(r, t)}{\partial t} = \nabla \cdot \left[ M \nabla \left( \frac{\partial g_{chem}}{\partial c} \right) \right], \quad (3)$$

$$\frac{\partial \eta}{\partial t} = -L \left( \frac{\partial g_{chem}}{\partial \eta} - \kappa_{\eta}^2 \nabla^2 \eta + \frac{\partial E_{elas}}{\partial \eta} \right), \quad (4)$$

where  $M$  is the hydrogen mobility;  $L$  is the kinetic coefficient of  $\eta$ ;  $g_{chem}$  is the bulk free energy density;  $E_{elas}$  is the elastic strain energy;  $\kappa_{\eta}$  is the gradient energy coefficient associated with an interface energy.

The constraint equations for the concentrations are the mass conservation equation and the pointwise equality equation of the chemical potentials of the phases. The KKS model implemented in this study is a two-phase model with concentration variables  $c_{\alpha}$  and  $c_{\delta}$ , in addition to the global concentration  $c$  following Eq.(5).  $c_{\alpha}$  and  $c_{\delta}$  are the molar fractions of atomic H in the matrix and  $\delta$ -hydride, respectively.

$$c(r, t) = (1 - h(\eta))c_{\alpha} + h(\eta)c_{\delta} \quad (5)$$

The free energies of  $\alpha$  and  $\delta$  are functions of the solute concentrations of each phase. The pointwise equality of the diffusion potentials of each phase is shown in Eq. (6).

$$\frac{\partial G^{\alpha}}{\partial c_{\alpha}} = \frac{\partial G^{\delta}}{\partial c_{\delta}} \quad (6)$$

### 2.3 Elastic strain energy

The elastic strain energy was computed using the Voigh-Taylor scheme [15]. When  $\delta$ -hydride precipitates in  $\alpha$ -Zr, the elastic strain energy is derived from the structural difference between  $\delta$ -hydride and  $\alpha$ -Zr.  $\delta$ -hydride is an interstitial solid solution having a fcc structure and  $\alpha$ -Zr is an interstitial solid solution having an hcp structure. The elastic strain energy  $E_{elas}$  can be calculated as shown in Eqs. (7) - (10) [16].

$$E_{elas} = (1 - h(\eta))E_{elas}^{\alpha} + h(\eta)E_{elas}^{\delta} \quad (7)$$

$$E_{elas}^{\alpha} = \frac{1}{2} C_{ijkl}^{\alpha} \epsilon_{ij}^{el, \alpha} \epsilon_{kl}^{el, \alpha} = \frac{1}{2} C_{ijkl}^{\alpha} \epsilon_{ij} \epsilon_{kl} \quad (8)$$

$$E_{elas}^{\delta} = \frac{1}{2} C_{ijkl}^{\delta} \epsilon_{ij}^{el, \delta} \epsilon_{kl}^{el, \delta} = \frac{1}{2} C_{ijkl}^{\delta} (\epsilon_{ij} - \epsilon_{ij}^{00}) (\epsilon_{kl} - \epsilon_{kl}^{00}) \quad (9)$$

$$\epsilon_{ij} = \frac{1}{2} \left[ \frac{\partial u_i(r)}{\partial x_j} + \frac{\partial u_j(r)}{\partial x_i} \right] \quad (10)$$

Here,  $C_{ijkl}^{\alpha}$  is the elastic modulus tensor of the  $\alpha$ -Zr matrix,  $C_{ijkl}^{\delta}$  is the elastic modulus tensor of  $\delta$ -hydride;  $\epsilon_{ij}^{el}$  is the elastic tensor;  $\epsilon_{ij}$  is the eigenstrain tensor[16].

To solve the mechanical equilibrium equation in Eq. (11), the stress was interpolated as a function of the structural parameter  $\eta$ , assuming the quasi-steady approximation [15] with

$$\nabla \cdot \sigma_{ij} = \nabla \cdot \left[ (1 - h(\eta))\sigma_{ij}^{\alpha} + h(\eta)\sigma_{ij}^{\delta} \right] = 0 \quad (11)$$

where  $\sigma_{ij}^{\alpha} = C_{ijkl}^{\alpha} \epsilon_{ij}^{el}$ ,  $\sigma_{ij}^{\alpha}$  is the total stress implemented on the  $\alpha$ -Zr matrix and  $\sigma_{ij}^{\delta} = C_{ijkl}^{\delta} \epsilon_{ij}^{el}$ ,  $\sigma_{ij}^{\delta}$  is the total stress implemented on the  $\delta$ -hydride.

To investigate the effect of the applied stress in the morphological and directional transition of  $\delta$ -hydride, the applied stress  $\sigma_{app}$  was implemented via a displacement boundary condition.

### 2.4 Measurement of direction of the hydride

To determine the direction of the  $\delta$ -hydride and its angle to the z-axis after reorientation, we performed a principal component analysis(PCA) [17]. Voxels with hydrogen concentrations greater than 0.1 were assumed to be belong to  $\delta$ -hydride. Then, we calculated the mean values of the x-, y-, and z-coordinates, and we constructed the covariance matrix (A), which is obtained by calculating the differences in the coordinates between each position and the mean values. We obtained the eigenvalue  $\lambda$  and its associated eigenvector:

$$Au = \lambda u \quad (12)$$

$$(A - \lambda I)u = 0 \quad (13)$$

Where A is a covariance matrix of the data,  $\lambda$  is a scalar called the eigenvalue associated with the eigenvector and u is the eigenvector of the covariance matrix A maximizing the variance in the voxels of  $\delta$ -hydride. We assume that the hydride direction u, which is the eigenvector, corresponds to the maximum value of the eigenvalue.

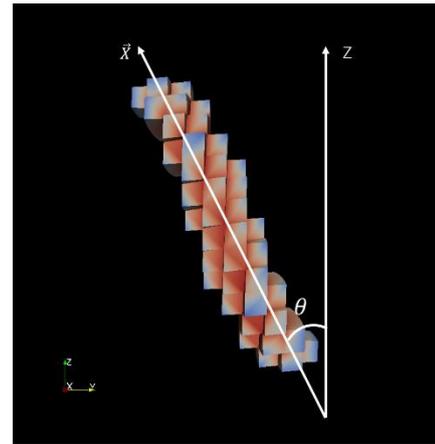


Fig. 2. The principal component u of  $\delta$ -hydride after reorientation and the angle between z-axis and u

## 3. Results

We placed a spherical hydride at the center of the simulation cell and found that the equilibrium morphology of the hydride was platelet shaped for three

different crystallographic variants in Fig. 3. Which is consistent with previous experimental observations [2, 18, 19].

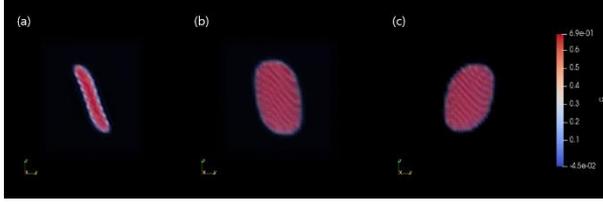


Fig. 3. Growth of a  $\delta$ -hydride within  $\alpha$ -Zr at a temperature of  $T=550K$  in three dimensions. The images show the hydride at steady state with different variants (a) variant 1  $[11\bar{2}0]$ ; (b) variant 2  $[\bar{1}2\bar{1}0]$ ; and (c) variant 3  $[\bar{2}110]$ . The hydrogen concentrations are shown by the colors figures.

We applied an external stress in the form of a displacement[xxx]. We applied the displacement boundary condition along the y-direction, and we measured the stress level without a hydride to convert the displacement to an applied stress. We tested two different 3D simulation cell sizes,  $32^3nm^3$ ,  $40^3nm^3$  and we plotted the correlation between the applied stress level and  $\theta_z$ , which is the angle between the hydride direction measured by PCA and the  $[001]$  direction in Fig. 4.

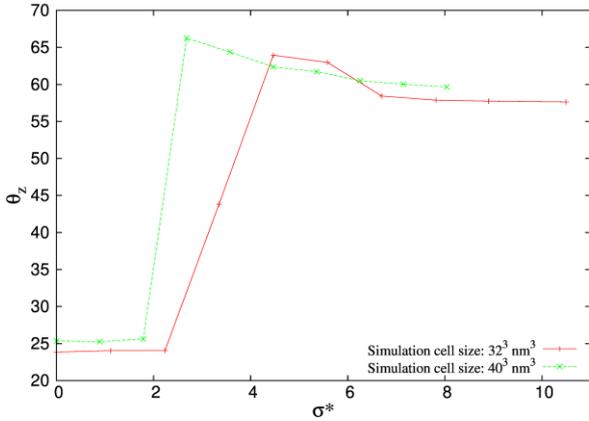


Fig. 4. The angle between the hydride direction and the  $[001]$  direction.  $\theta_z$  is the angle and  $\sigma^*$  is the non-dimensionalized applied stress on the system boundary.

We clearly observed the threshold stress, which is the stress level when the direction of the hydride begins to rotate and we found that this value depended on the simulation cell size (Fig. 4).

We measured  $\tau_{yz}$  in the cases when comparable tensile stresses  $\sigma_{yy}$  were comparable, the tensile stress level decreased as the simulation cell size increased. In Fig. 5, the  $\sigma_{yy}$  values are 22.482 and 21.250, which are comparable, however, we found that the high  $\tau_{xz}$  zone is notably thicker in the  $40^3nm^3$  case compared to the  $32^3nm^3$  case. When the simulation cell size is small, which means that a high mechanical constraint is applied, the hydride is more difficult to rotate.

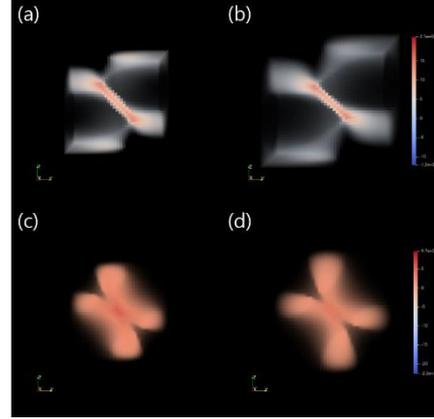


Fig. 5. Tensile stress  $\sigma_{yy}$  and shear stress  $\tau_{yz}$  when the simulations cell size are  $32^3nm^3$  and  $40^3nm^3$ ; (a) tensile stress level in the  $32^3nm^3$  system, (b) the tensile stress level in the  $40^3nm^3$  system, (c) the shear stress level in the  $32^3nm^3$  system, and (d) the shear stress level in the  $40^3nm^3$  system.

The constraint effect of this simulation cell size is expected to disappear when the simulation cell size is increased above a certain level. However, because the  $40^3nm^3$  simulation takes more than a week to run even with parallel computing on 32 CPU cores (64 threads) (AMD Ryzen Threadripper 3970X), we did not perform an additional convergence test.

As shown in Fig. 4,  $\theta_z$  does not evolve when the applied stress is below the threshold level. For example, when the simulation cell size is  $32^3nm^3$ ,  $\theta_z$  is mostly invariant as  $\sigma^*$  increases until  $\sigma^*$  is 2.792. This can be categorized as the invariant regime. The next regime is the transient regime. When  $\sigma^*=3.350$ , even though  $\theta_z$  is smaller than the saturated value, which is approximately  $57^\circ$ , it is rotated by approximately  $45^\circ$ . In addition, when  $\sigma^*=4.466$  and  $5.583$ ,  $\theta_z$  is larger than the value of  $\theta_z$  when  $\sigma^*>6$ . Finally,  $\theta_z$  becomes mostly saturated with respect to  $\sigma^*$  when the applied stress level is larger than 6.670 when the simulation cell size is  $32^3nm^3$ ; we call this the saturated regime.

#### 4. Conclusions and Future Work

Phase-field kernels and tensor-mechanics kernels in the MOOSE framework were used to model changes in the  $\delta$ -hydride microstructure of  $\alpha$ -Zr. Initial spherical nuclei were shown to evolve into platelet shaped hydride, as experimentally observed in previous studies even when we did not apply an external stress. In the presence of an external applied stress, the direction of the hydride did not change when the applied stress level was below a threshold level. However, in agreement with the conventional understanding, the hydride gradually turned toward a certain direction, when the stress condition was above a certain level; then, additional rotation in the direction of the hydride was no longer observed. Because the stress state in actual cladding is more complex than the assumptions used in this study

(where only  $\sigma_{yy}$  was non-zero), further studies are required to investigate hydride morphological evolution under various stress states, that have a position dependence in the cladding tube.

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